

# Top Three Reasons

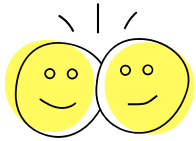
## Why Implementation Fails

Webinar Hosted By

*Customized*    
Implementation  
Supports BY 



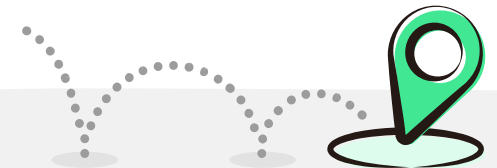
This webinar is being recorded and attendees are in Listen Only mode



Links and resources will be shared in the chat



Use the chat or Q&A feature to engage today





Selecting the **Right 'Thing'** to Implement



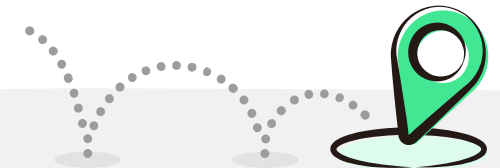
Defining Successful **Implementation**



**Top 3 Reasons** Why Implementation Fails

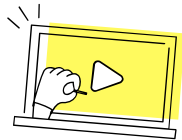


**Solutions** to the Reasons Why Implementation Fails





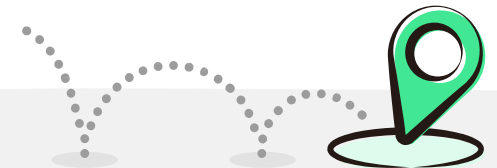
Get the support based on your needs



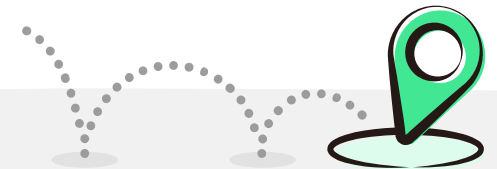
We will be sending out the slides in PDF format



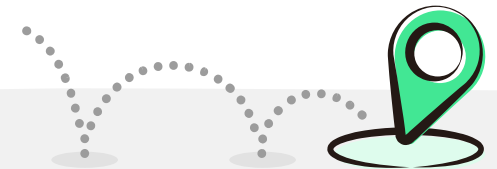
We will send the recording so you can watch later or share with colleagues



# ***What is the world's largest reef?***



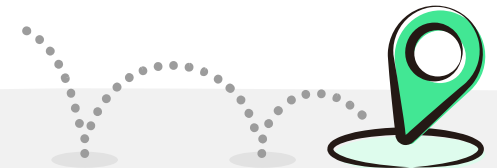
# What is this track event?



# Clues

*A clothing store for kids & adults*

*A break or open space between two objects*



## Barriers



Something that cannot be passed through (beyond immediate control)

vs.

## Hurdles



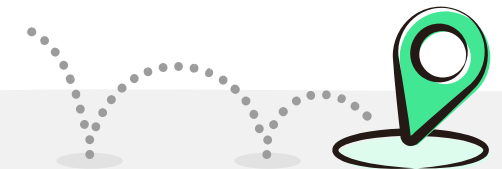
Something that can be navigated and jumped over (within control to overcome)

vs.

## Gaps



Holes in knowledge or understanding that need to be filled





# What are **your needs** around implementation?

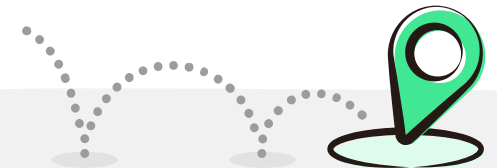
We're pretty good at implementation but always looking for more ideas & resources



We encounter implementation hurdles and need strategies to overcome them



We really struggle with implementation, & need some support



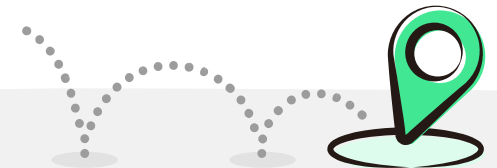
# Student-Facing

Programs

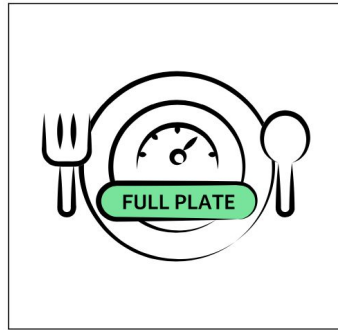


Practices

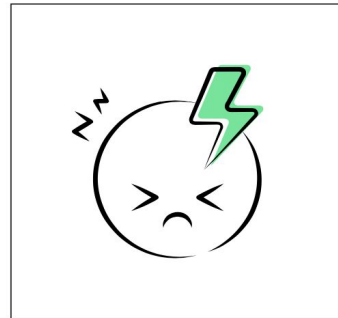
Procedures



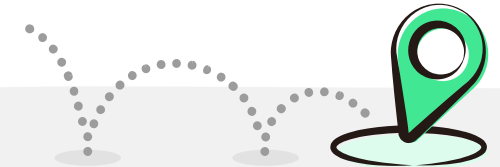
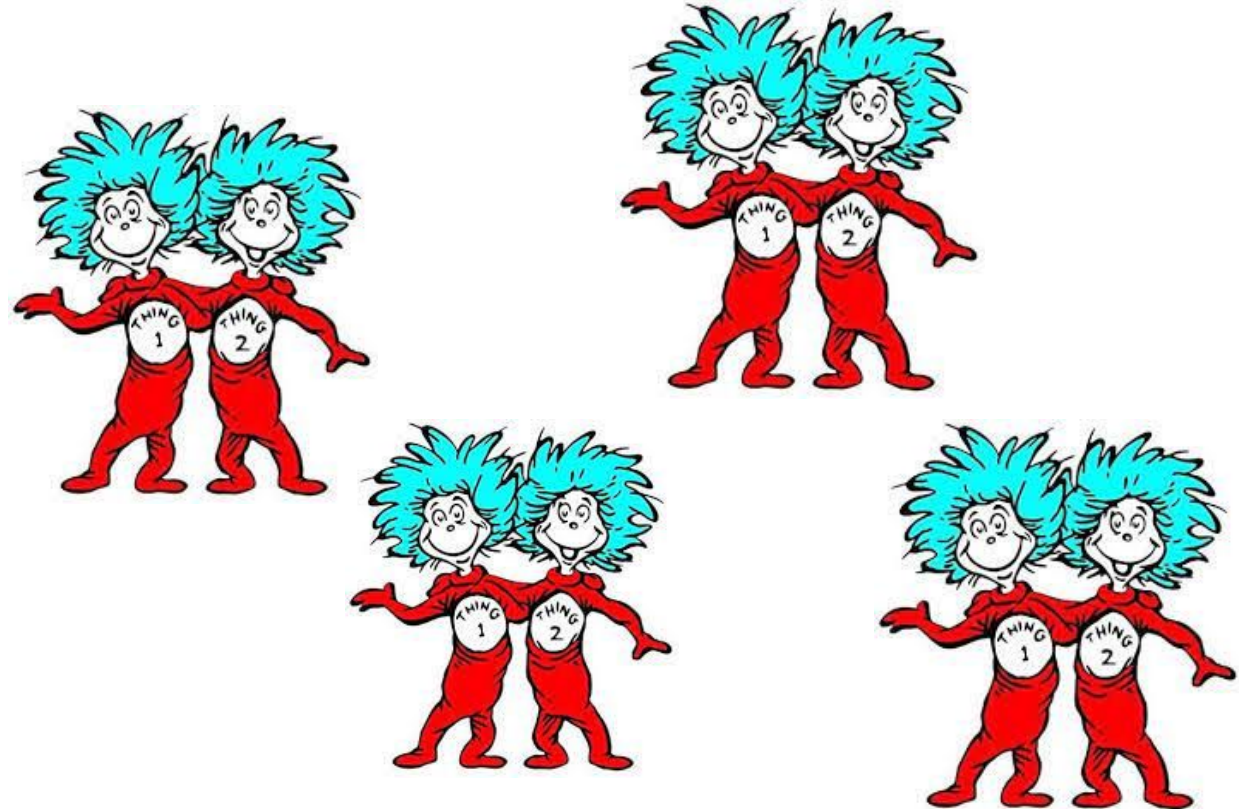
# Symptom of Implementation Failure: Too Many Things



Implementation Overload  
(Full Plates)



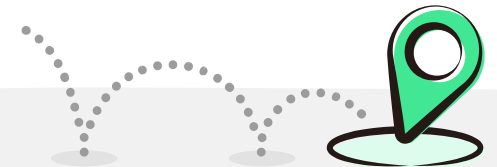
Stressed/Overwhelmed



# Two Truths about Things

Students cannot benefit from **ineffective things**  
**implemented well**

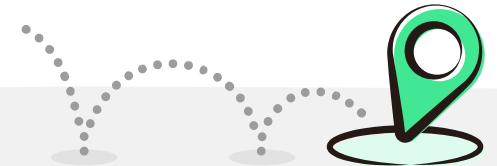
Students cannot benefit from **effective things that**  
**they do not receive**



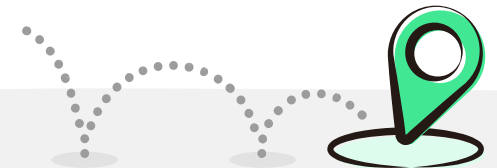
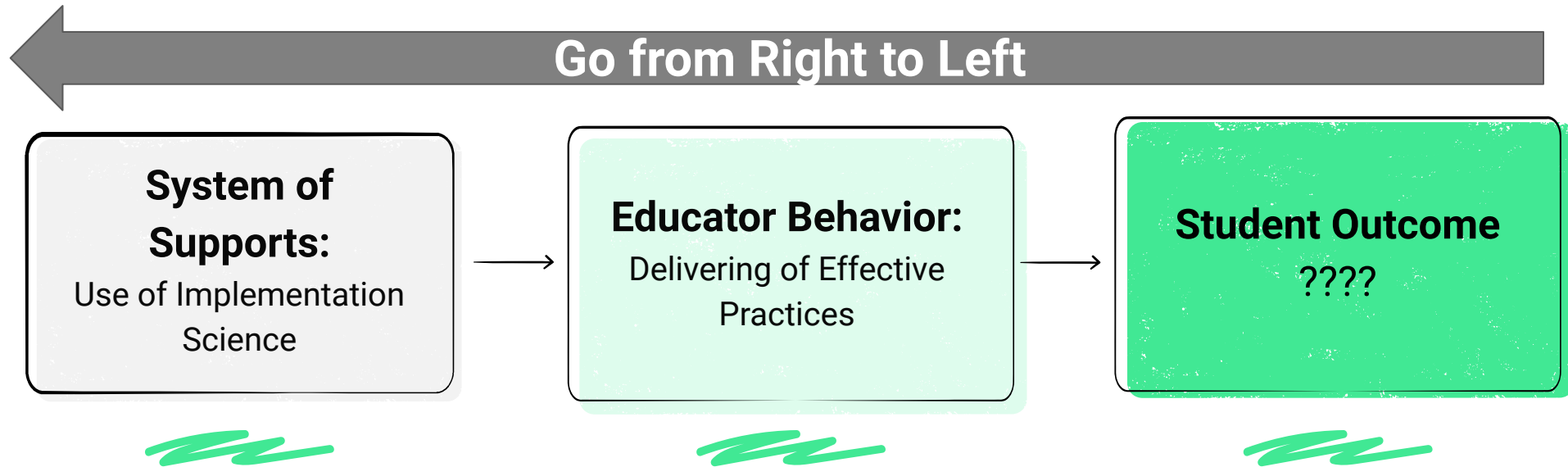
## The **Wrong Way** to Select a Thing

Selecting a Thing **without first identifying the student outcome** to achieve and produce on purpose

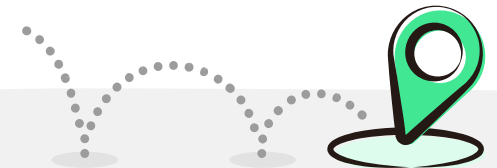
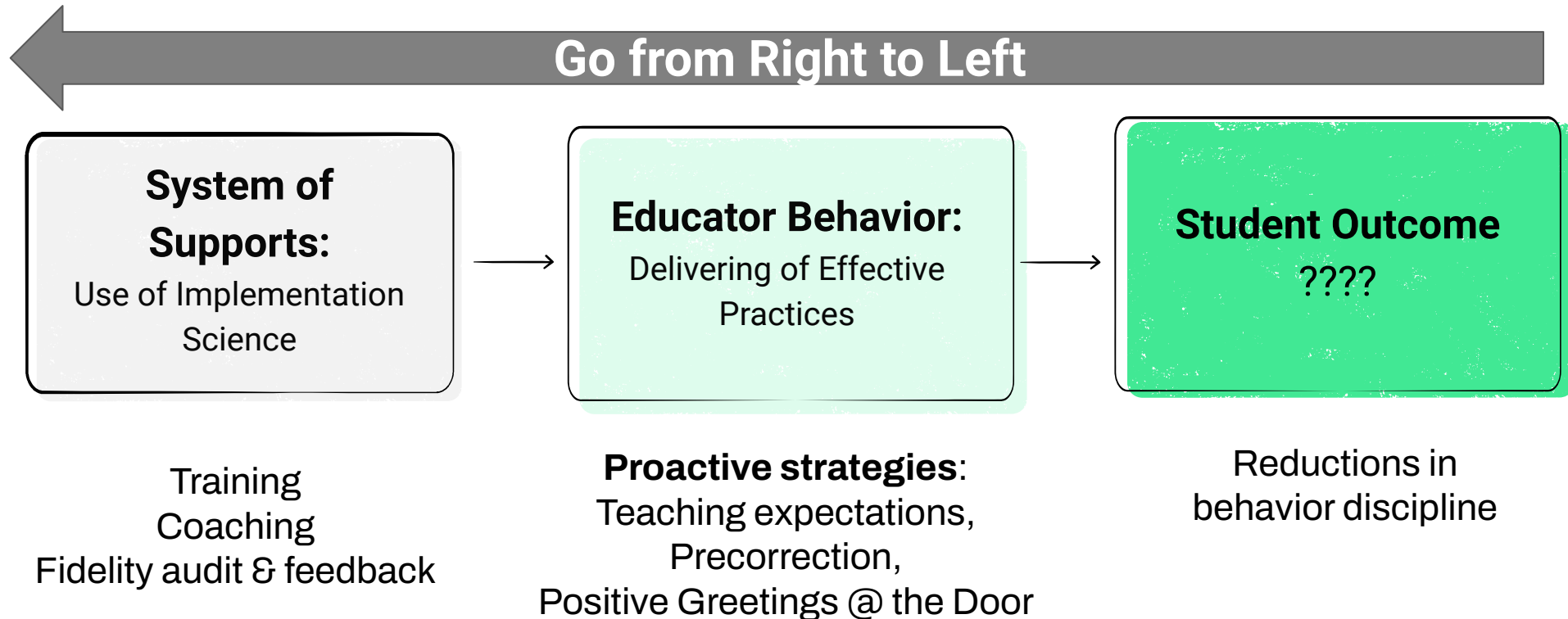
- Implementing the Thing, even with high fidelity, will not produce the desired outcome because the Thing was never designed to produce such an outcome



# Selecting the Right 'Thing': Start with the Outcome



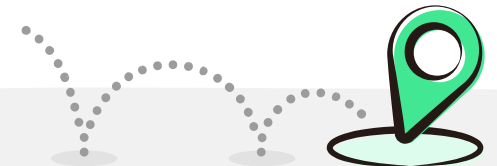
# Selecting the Right 'Thing': Start with the Outcome



## The **Wrong Way** to Select a Thing

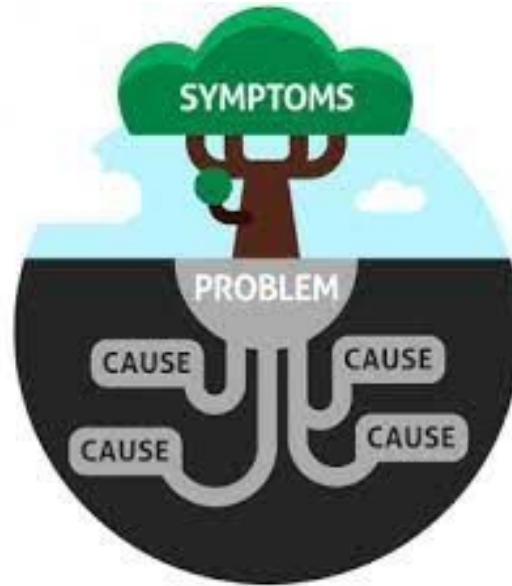
Selecting a Thing that targets the symptom & not the root cause

- Failure to correctly identify the root cause that explains why the need or problem among students exists





# Selecting the Right 'Thing': Root Cause Analysis



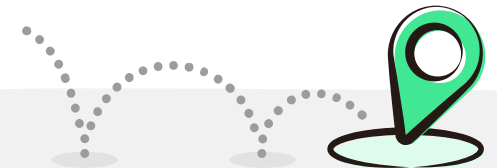
Define the Problem

Collect Data

Use Data to  
Explore Why  
(Causes)

Hypothesize  
the Root  
Cause

Identify Things  
that Address  
Root Cause



# Selecting the Right 'Thing': Root Cause Analysis

Define the Problem

Student discipline issues

Collect Data

Administrative data, observation & interview

Use Data to Explore Why (Causes)

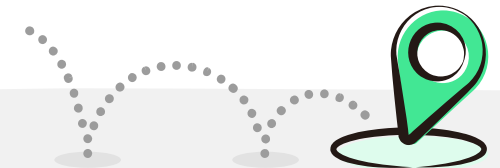
- Missing skills
- Lack proper encouragement & support from the environment
- Educators not consistently teaching skills & reporting weak on proactive behavior support practices

Hypothesize the Root Cause

**Hypothesis:** Educators report feeling weak in their practices related to behavior support, which is connected to some students lagging in social-emotional skills and others not properly supported and motivated by the environment to exhibit behaviors consistent with the expectations.

Identify Things that Address Root Cause

Support our educators through professional learning how to teach specific social-emotional skills and put in place proactive supports to encourage & motivate student behavior



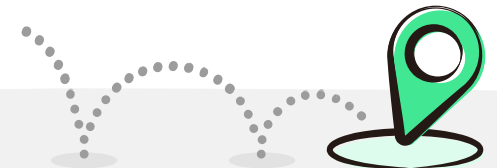
## The **Wrong Way** to Select a Thing

Select an **ineffective** Thing

- Ineffective or potential harmful things will not work even if they are implemented well

Select an **unusable** Thing

- The Thing is too expensive to maintain or too complicated and burdensome to implement over time

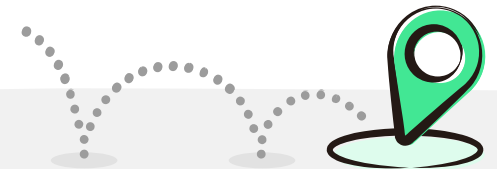


# Selecting the Right ‘Thing’

**Usable &  
Effective  
Practices**

**=**

- **Usability/Sustainability**
  - **Costs** (affordable over time)
  - **Feasible/clear** (concrete, clear, doable)
  - **Implementation supports** (fidelity tool, training, technical assistance)
- **Effectiveness/Impact**
  - **Evidence** (defensibility)
  - **Appropriate** (developmentally and culturally)



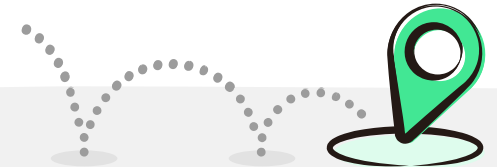
# The **Implementation** Gap

Effective procedures,  
programs & practices

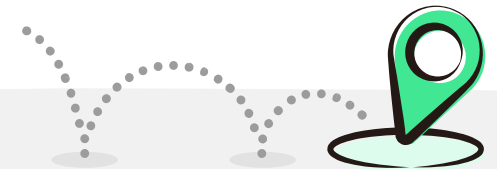


Implementation Gap

Student  
experience and  
outcomes



# What is **Implementation**?



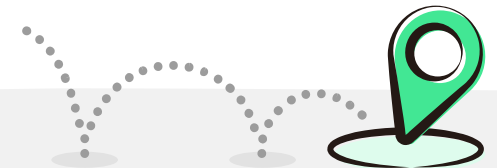
**Implementation is the *process* of intentionally putting in systems of support in place that *facilitates adult behavior change related to the adoption, high fidelity use, and sustainment of effective practices* that lead to desired changes in student outcomes**





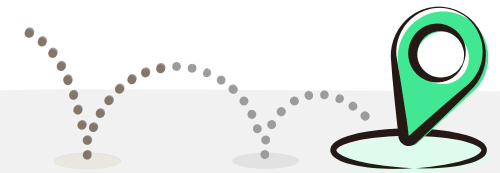
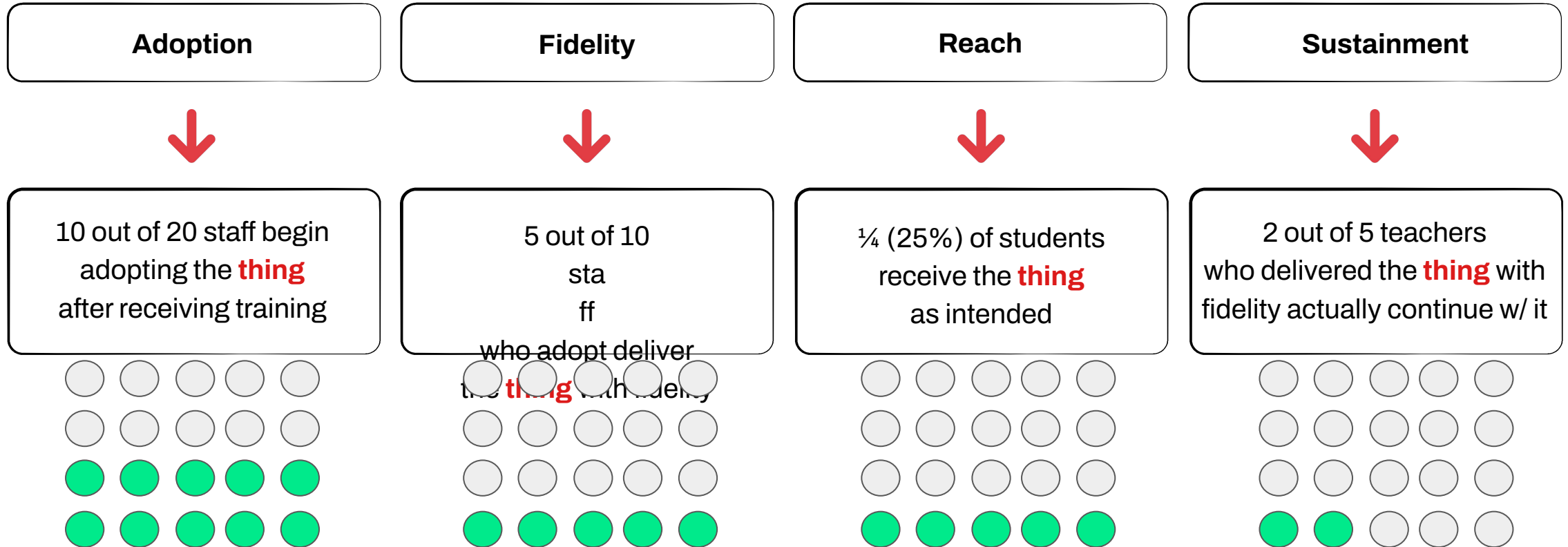
## Chat:

From your experience, why do most well-intentioned and well-resourced *implementation efforts fail?*





# Leaky Implementation Pipeline

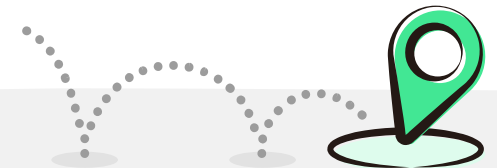


# Implementation boils down to.....

**Adult**  
behavior  
change



**If implementation fails, it means we failed to properly support, encourage, motivate, and sustain behavior change among adults.**



# Top 3 Reasons Why Implementation Fails



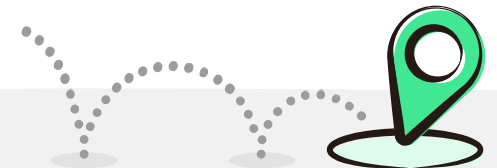
Lack of Readiness from the Outset



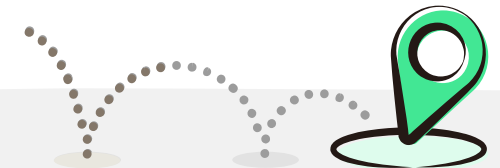
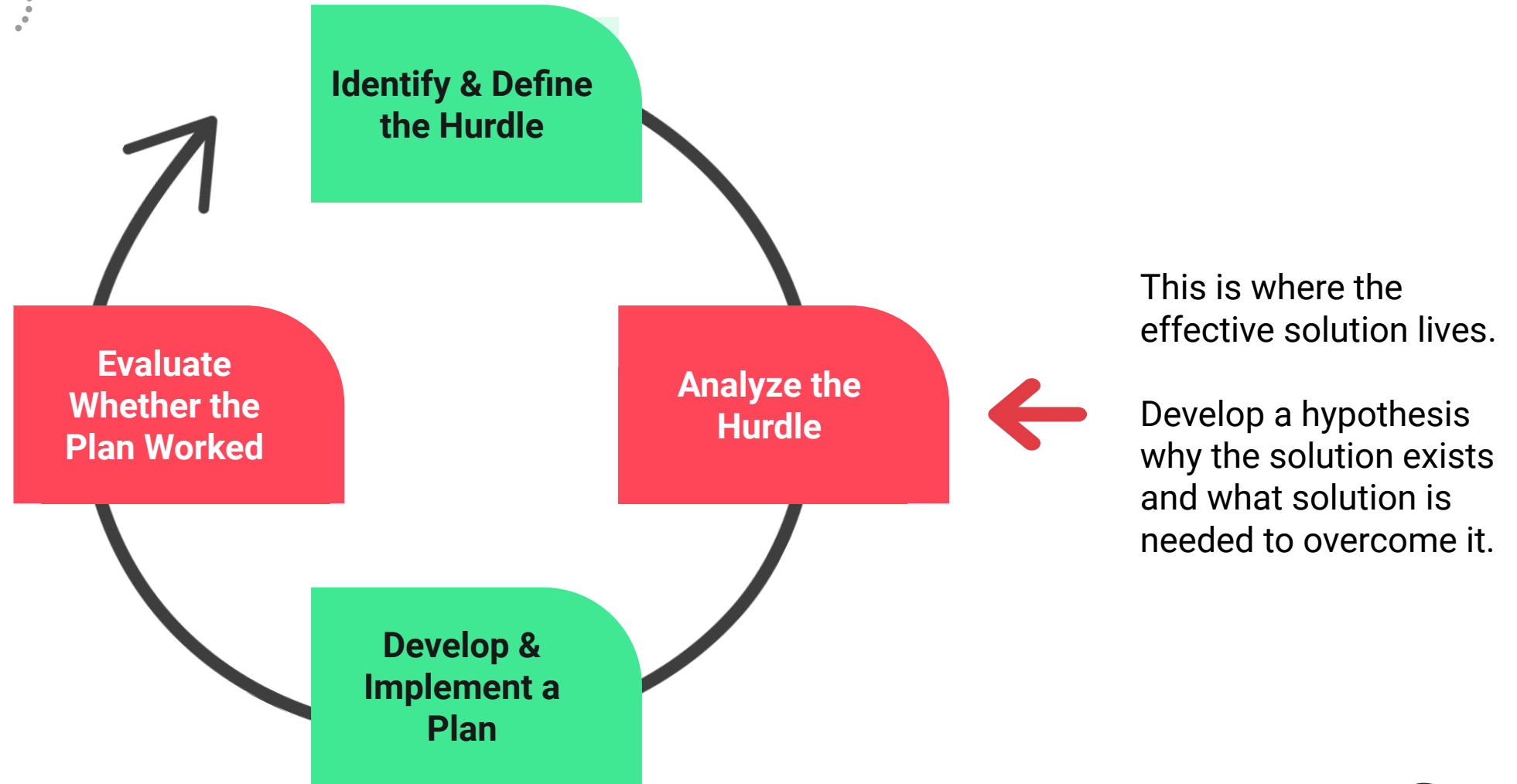
Treated as Event & Not an Ongoing,  
Never-Ending Process



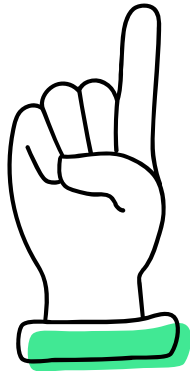
No Team Accountable for  
Implementation



# Problem-Solving Hurdles

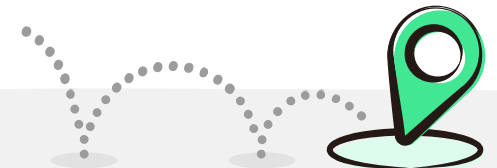


# Top 3 Reasons Why Implementation Fails

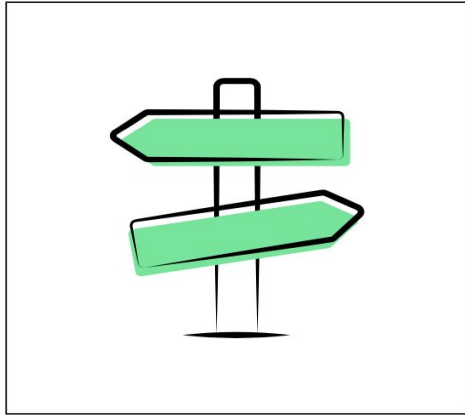


**Lack of Readiness** from the Outset

**50-80% of Implementation Failures Explained  
by Lack of Readiness for Change**



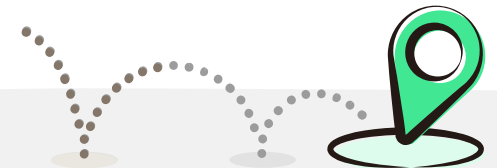
## Hurdle



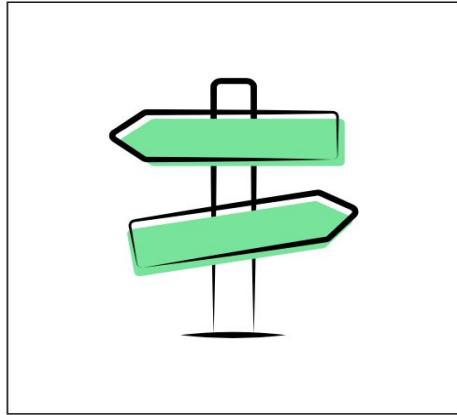
Lack of Clarity

## Define the Problem

Pushing implementation forward without **shared clarity** established among educators



## Hurdle

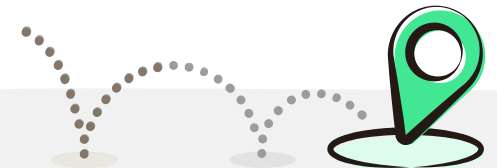


Lack of Clarity

## Analyze the Problem

### Getting at the root of the problem:

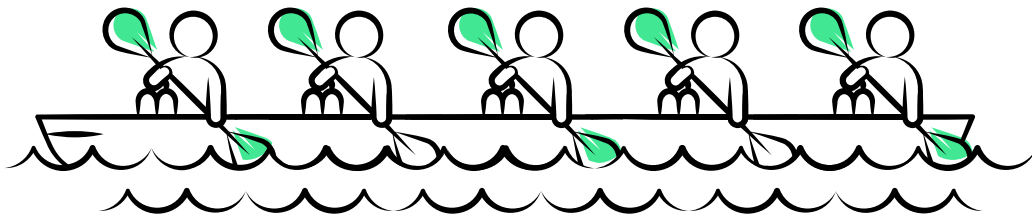
- Don't Understand the WHAT
- Don't Understand the WHY
- Don't Understand the OUTCOMES
- Don't Understand the ROLES
- Don't Understand the HOW (plan)



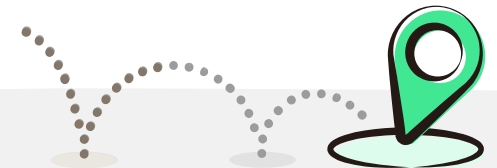
**Going from this...**



**To this!**



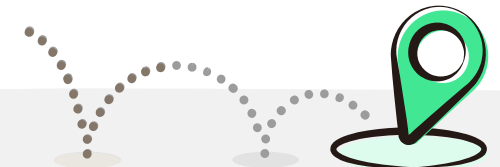
Everyone in the same boat and rowing in the same direction to achieve prioritized student outcomes of interest



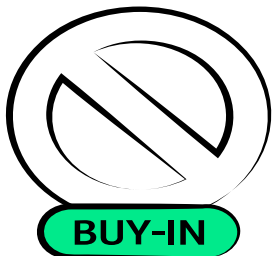


# There is a Checklist for That Too: Clarity Checklist

Clarity Items	Completed
Are leaders & staff clear about <b>WHAT</b> the THING is, including the big ideas and practices?	<input type="checkbox"/> YES <input type="checkbox"/> NO
Are leaders and staff clear about <b>WHY</b> the THING is needed (to address existing needs/problems)?	<input type="checkbox"/> YES <input type="checkbox"/> NO
Are leaders and staff clear about the student <b>OUTCOMES</b> to be achieved by implementing the THING?	<input type="checkbox"/> YES <input type="checkbox"/> NO
Are leaders & staff clear about the specific <b>ROLE</b> they play in supporting the implementation of the THING?	<input type="checkbox"/> YES <input type="checkbox"/> NO
Are leaders & staff clear about the <b>HOW</b> (the plan) they will be supported to implement the THING?	<input type="checkbox"/> YES <input type="checkbox"/> NO



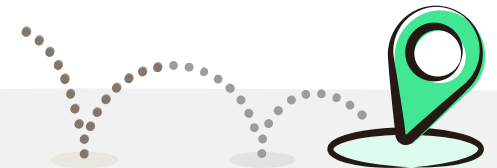
## Hurdle



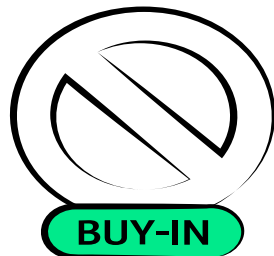
Lack of Buy-In

## Define

A lack of **motivational readiness and commitment** to adopt and continuously improve the use of prioritized practices



## Hurdle

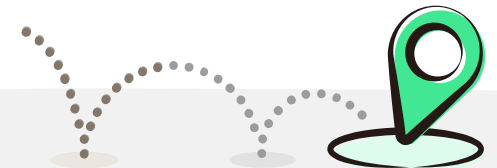


Lack of Buy-In

## Analysis

### Getting at the root of the problem:

- Don't understand the need to do it (problem/need recognition)
- Don't see the benefit of doing it (outcome expectancies)
- Don't see others doing it (social norms)
- Don't feel confident in doing it (self-efficacy)



# Strategy to Address Lack of Buy-In

Don't understand the **need** to do it (problem/need recognition)

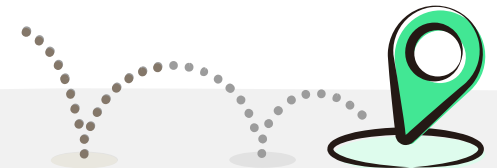


Increase recognition that there is a need or problem that exists that warrants the practices as a solution (use of data and stories from stakeholders)

Don't see the **benefit** of doing it (outcome expectancies)



Increase knowledge of the beneficial outcomes associated with implementing the practices for students & self (aligned with values)



# Strategy to Address Lack of Buy-In

Don't **see others** doing it  
(social norms)

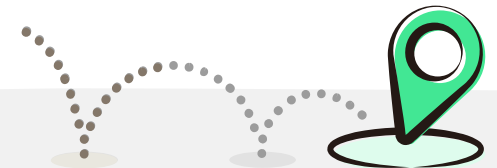


Allow educators to hear (testimonials) or see (visits, modeling) from trusted & credible others like them

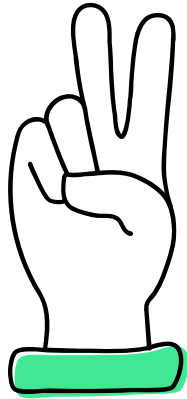
Don't **feel confident** in  
doing it (self-efficacy)



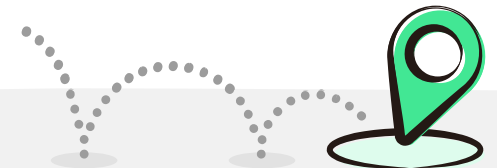
Boost efficacy by (a) providing training, coaching, or mentoring, (b) protecting time for planning, (c) taking something off the plate to reduce competing demands.



# Top 3 Reasons Why Implementation Fails



**Treated as Event** & Not an  
Ongoing, Never-Ending Process



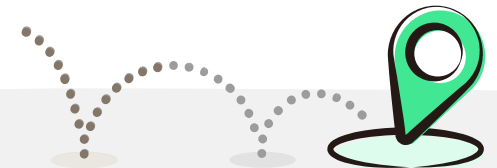
**Hurdle**



**Train and Hope**

**Define**

Viewing **implementation as something that can be crossed off** after organizing and delivering training



## Hurdle

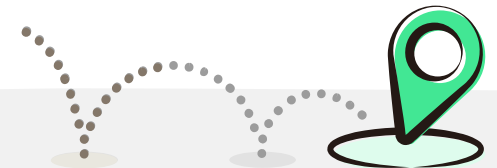


**Train and Hope**

## Analysis

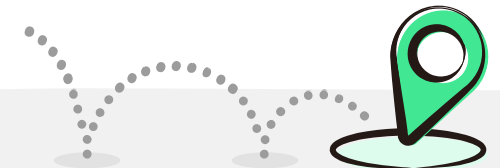
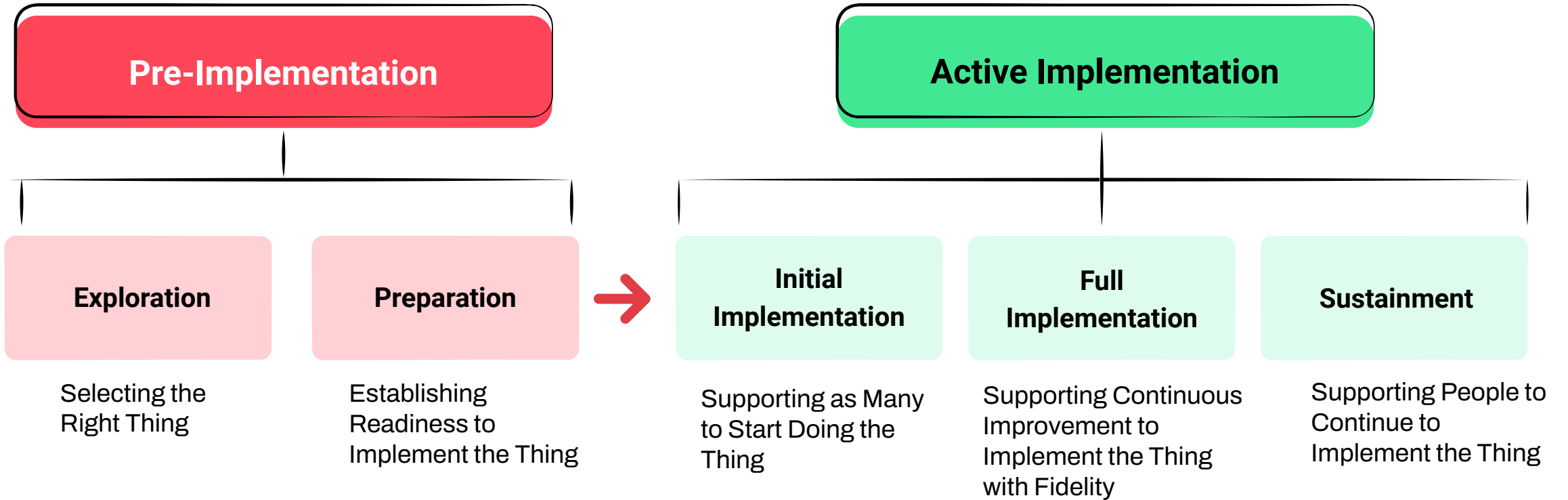
### Getting at the root of this problem:

- **No implementation framework** to guide decisions & actions at each phase/stage of the implementation process
- Belief that once people understand how to implement the practice they know how to fit it in and consistently follow with implementing it
- Educators tend to drift away from implementation after reaching high fidelity





# Locating Where You Are At in the Implementation Process



## Clarity



What educators need to deeply understand about the why, the what, and how of the Thing

## Competence

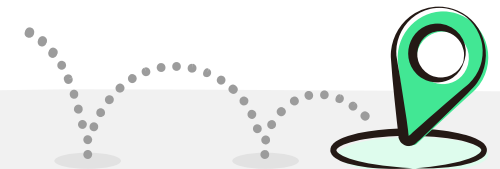


What supports educators need to become competent and confident in their ability to implement the Thing

## Consistency



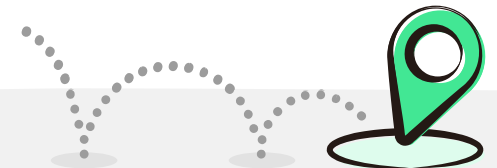
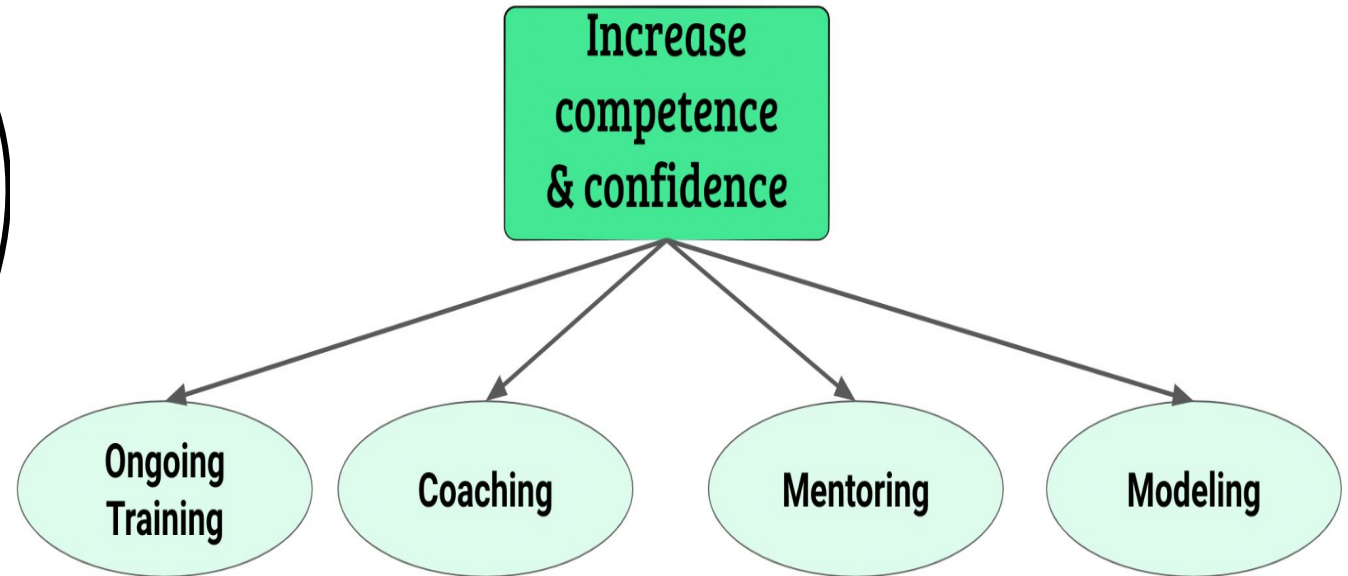
How educators will be supported to consistently implement the Thing with fidelity



# Ongoing Supports to Promote Competence

## Competence

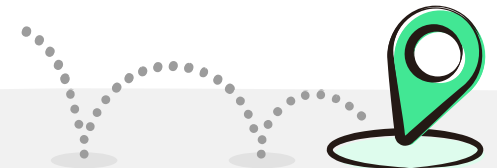
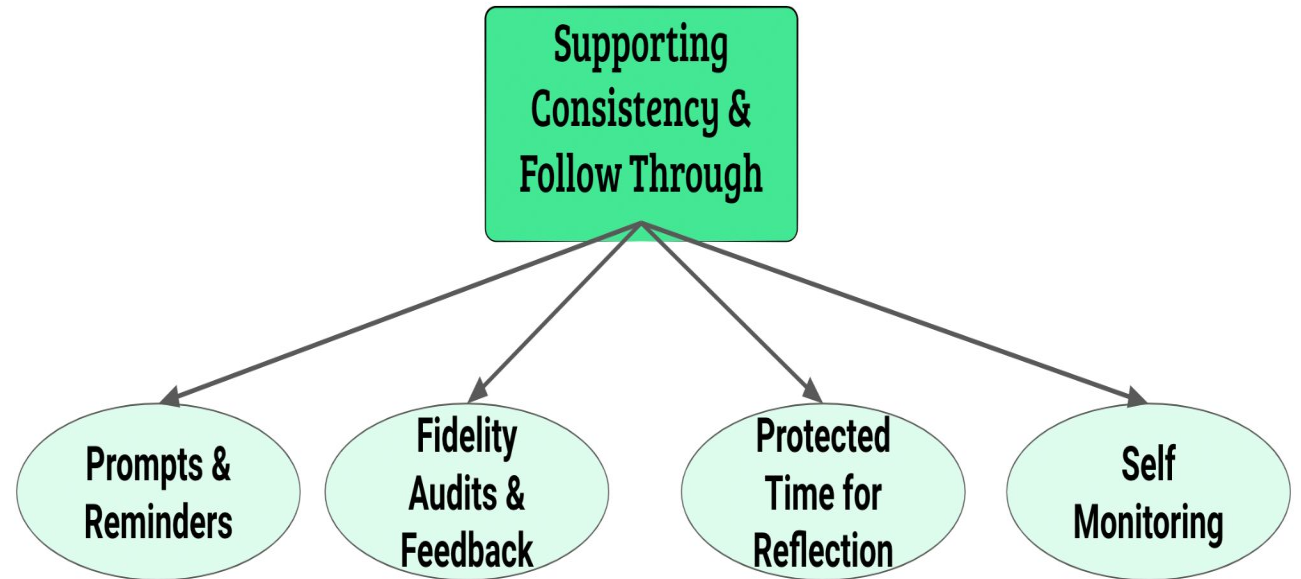
What supports educators need to become competent and confident in their ability to implement the Thing



# Supports to Promote Consistency

## Consistency

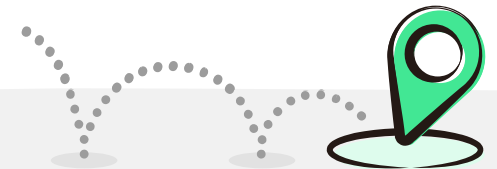
How educators will be supported to consistently implement the Thing with fidelity



# Top 3 Reasons Why Implementation Fails



**No Team Accountable** for  
Implementation



## Letting it Happen

### Diffusion



Information and materials are **passively shared** with educators, and educators are held **accountable to integrate practices into routines on their own**

## Helping it Happen

### Dissemination



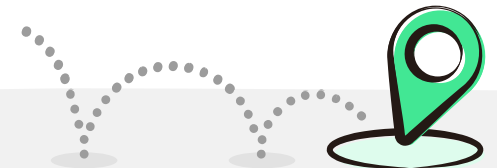
Information and materials are **actively and consistently shared** with educators, but educators are held **accountable to integrate practices into routines on their own**

## Making it Happen

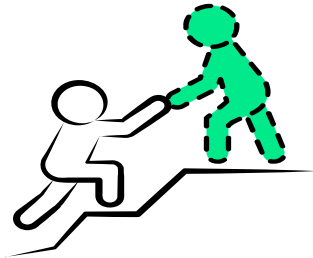
### Implementation



**Purposeful and strategic use of implementation supports** at each stage of the process. A site-based leadership **team is accountable** to support educators to achieve successful implementation.



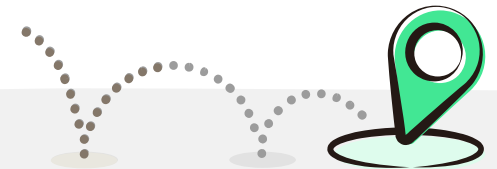
## Hurdle



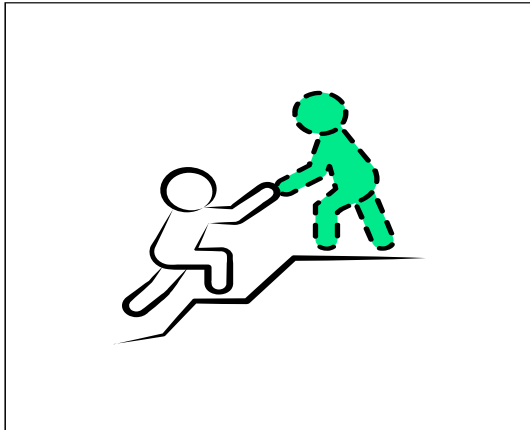
Lack of Supportive  
Leadership

## Define

Educator feel a **lack of support from leadership** to take on a given implementation effort



## Hurdle



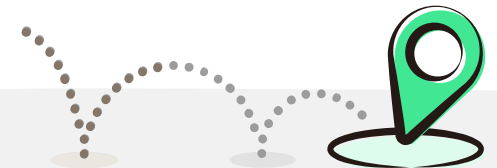
**Lack of Supportive  
Leadership**

## Analysis

### Implementation Climate

Staff currently perceive a given implementation effort **is not**:

- a priority relative to other Things,
- expected,
- adequately supported with time and learning resources,
- recognized & acknowledged to show appreciation,
- measured to provide informative feedback (non-evaluative)







## Implementation Leadership Scale (ILS) Scoring Instructions

The ILS assesses the degree to which a leader is Proactive, Knowledgeable, Supportive, Perseverant, Communication, Mission/Vision, and Availability in implementing Tier 1 curriculum and practices.

### Staff Version

#### Rating Format

0 = not at all, 1 = slight extent, 2 = moderate extent, 3 = great extent, 4 = to a very great extent

#### Items and Subscale Scoring

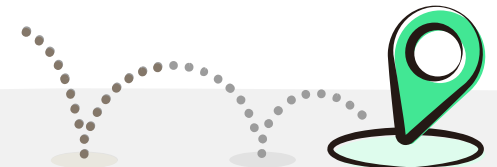
##### Scale 1: Proactive

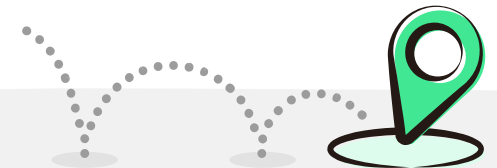
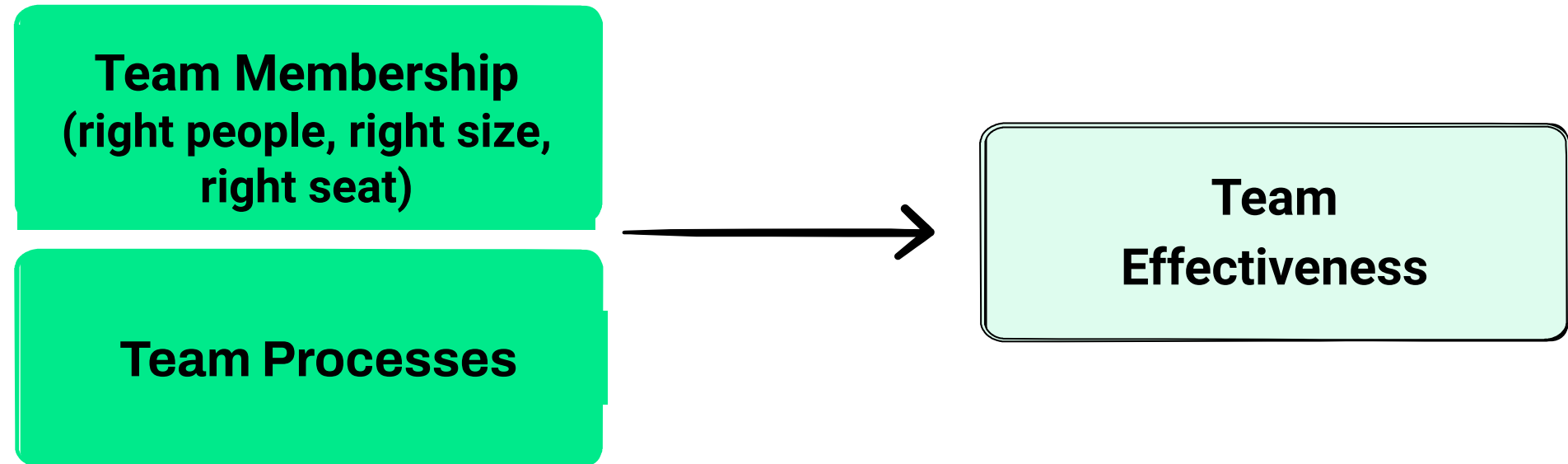
Item #	Item	Scale
1	Our principal has developed a plan to facilitate the implementation of Tier 1 curriculum and practices.	0-4
2	Our principal has removed obstacles to the implementation of Tier 1 curriculum and practices.	0-4
3	Our principal has established clear school standards and expectations for the implementation of Tier 1 curriculum and practices.	0-4

**Scale Scoring:** The score for the subscale is calculated by computing a mean score for the set of items that load on the given subscale.

##### Scale 2: Knowledgeable

Item #	Item	Scale
4	Our principal is knowledgeable about Tier 1 curriculum and practices	0-4
5	Our principal is able to answer questions about Tier 1 curriculum and practices.	0-4



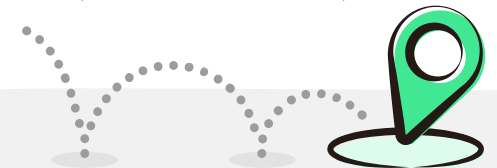


## Team Membership THE RIGHT PEOPLE



## Complementary Yet Distinct Experiences, Talents, & Perspectives

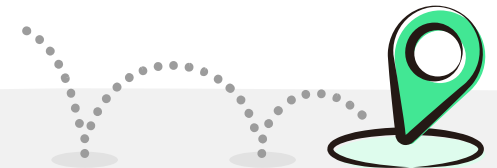
- ✓ Formal Leadership
- ✓ Key Opinion Teacher Leaders (socially influential)
- Champion Professional
- ✓ Support Staff (counselor, school psych, social worker)
- ✓ Healthy Skeptic (questions)



# Team Membership: The Right Seats



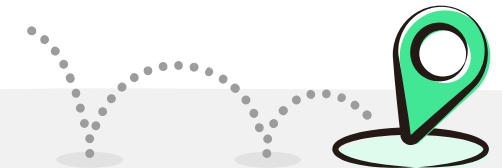
Role	Responsibility
Facilitator/Team Lead	Develops the agenda, leads the meeting, coordinates the work and spearheads the initiative
Recorder/Communicator	Take notes during the meeting and communicate notes with team members and staff at large
Time Keeper	Manages the time limits given for each item and is able to effectively move people along
Data Analyst	Brings the appropriate data in a way that can be reviewed for effective and efficient problem solving
Influencers/Champions	Attend meetings consistently and have opportunity for voice and are active in taking on responsibilities or tasks



## Site-Based Dissemination & Implementation Team Assessment

A Dissemination & Implementation Team provides the internal capacity within a school building to support continuous improvement towards effective implementation of practices. This checklist should be completed quarterly by the team to monitor the extent to which these essential components of effective team functioning are in place.

Components of Effective Implementation Teaming	0 - Not in place	1 - Partially in place	2 - Fully in Place
<p><b>The right people are at the table.</b></p> <ol style="list-style-type: none"> <li>1. Formal leaders &amp; informal teacher leaders</li> <li>2. Wide selection of staff that represent varying perspectives of the system</li> <li>3. Champions of the implementation effort</li> <li>4. Key opinion leaders with high social capital</li> <li>5. Parent/family participation to maximum extent practicable</li> </ol>			
<p><b>Shared vision, knowledge and understanding of the task/ objectives</b></p> <ol style="list-style-type: none"> <li>1. A common vision of the work is listed on every agenda</li> <li>2. Team members understand and practice the vision</li> <li>3. Team members can communicate the work of the team to others</li> <li>4. Team members have acquired knowledge of implementation science and ready to use common language about implementation</li> </ol>			
<p><b>Shared agreements and norms for collaboration, productivity, &amp; problem-solving</b></p> <ol style="list-style-type: none"> <li>1. The team develops shared agreements about the importance of attending and being present at each</li> </ol>			



# Team Processes

## Purpose & development

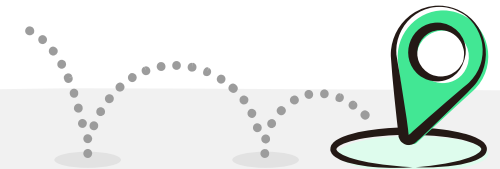
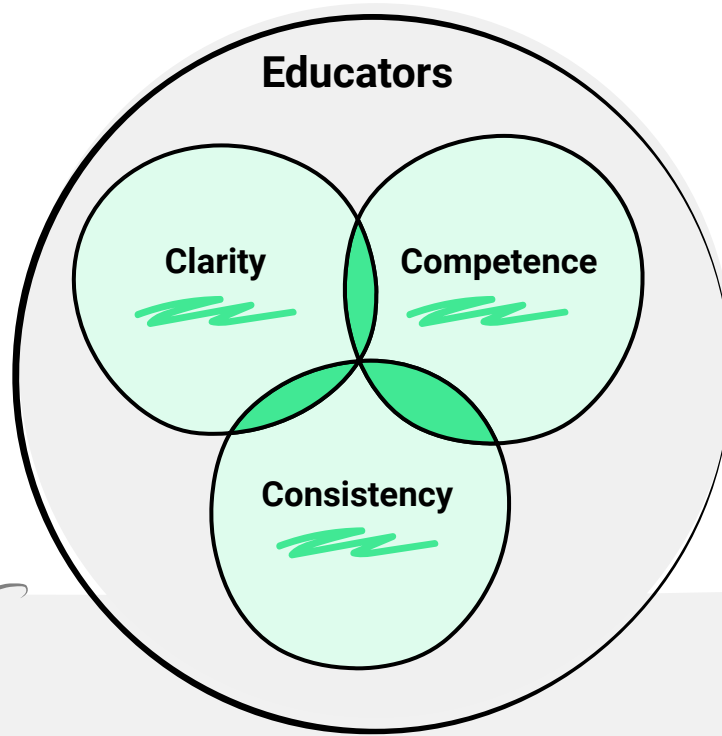
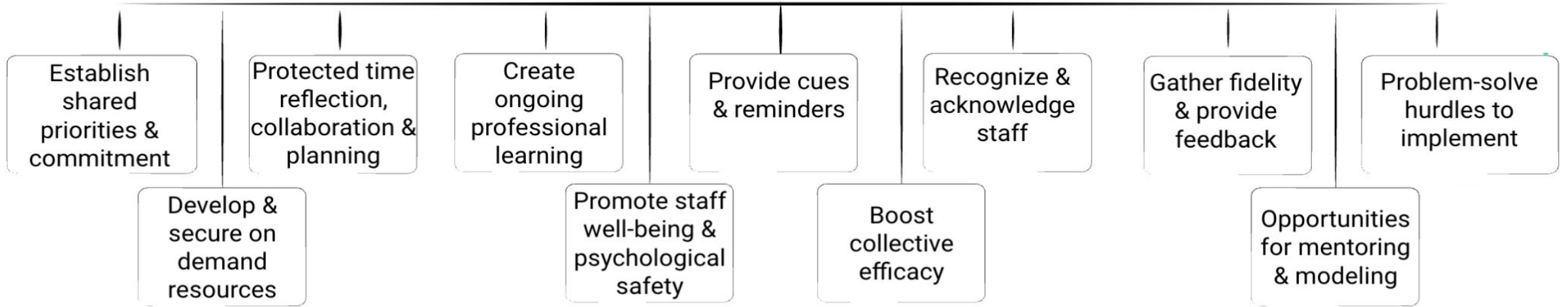
1. Clear mission and purpose
2. Clarity on implementation goals & student outcomes to be achieved
3. Creation of team norms (collaboration & productivity)
4. Competencies: knowledge, skills, tools

## Actions

1. Routine meetings with regular attendance of members (80%)
2. Structured continuous improvement problem-solving process
3. Deploying systems of support
4. Gathering data on implementation & student outcomes to monitor progress & spur improvement



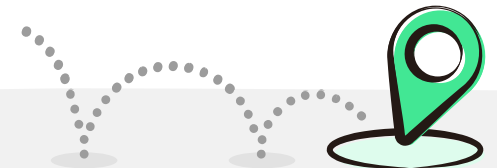
# Site Based Implementation Leadership Teams



# Top 4 Reasons Why Implementation Fails

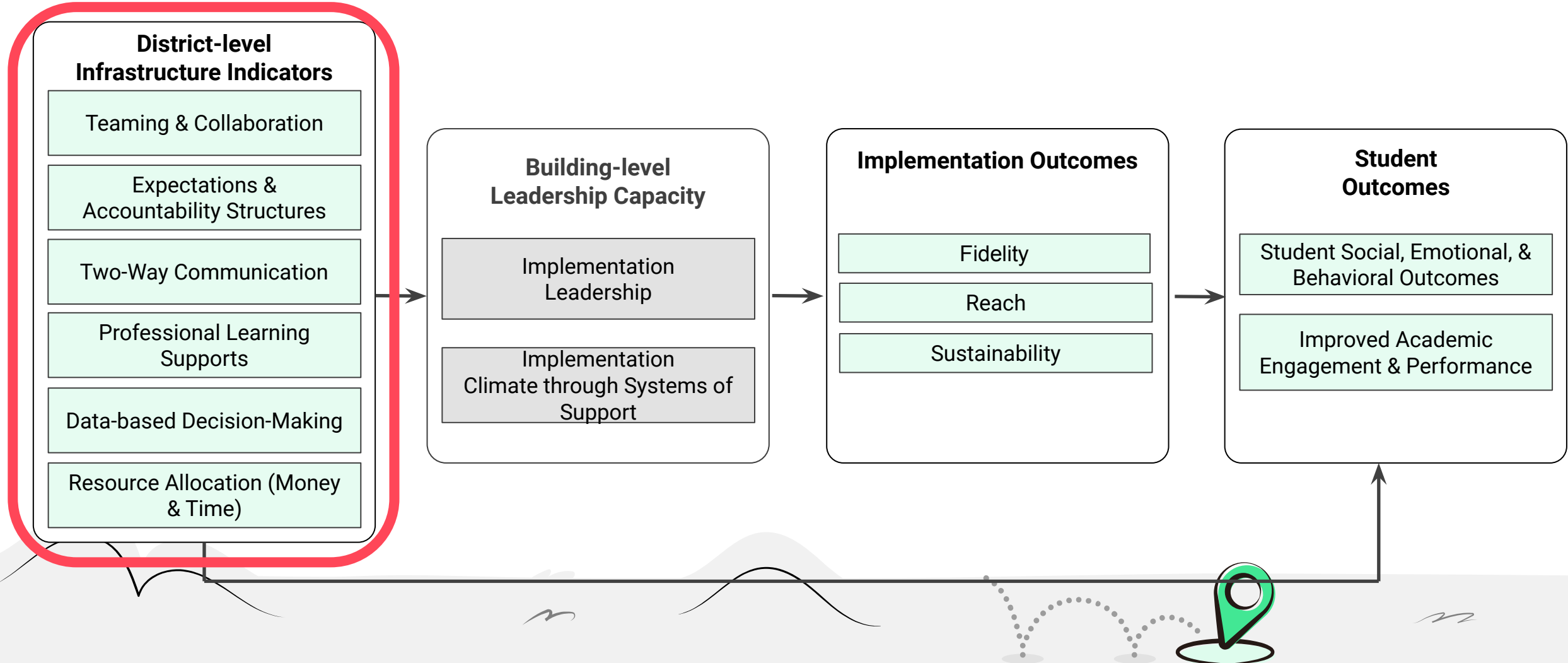
+1

**Limited District Infrastructure** to  
Support Implementation





# District Implementation Infrastructure

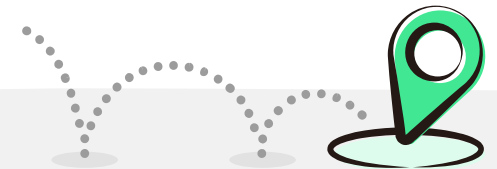




## District Implementation Infrastructure Assessment (DIIA)

**Purpose:** The purpose of the DIIA is to assess whether core implementation supports are in place at a district-level to create an infrastructure that supports ongoing, effective implementation. The data that result from this assessment are intended to inform decisions and action planning. These decisions and action planning a more solid district-level implementation infrastructure that facilitates implementation at the building level with regard to high quality programs and practices that have been selected to produce improvements in student outcomes.

District-Level Components of Effective Implementation	Firmly in place <small>(all 5 sub-components in place)</small>	Moderately in place <small>(3-4 sub-components in place)</small>	Slightly in place <small>(1-2 sub-component in place)</small>	Not at all in place <small>(0 sub-components in place)</small>
<p><b>District Implementation Team: There is no successful implementation in a district without the presence of a district implementation team (DIT).</b></p> <ol style="list-style-type: none"> <li>The DIT is a distributed leadership structure within a district that provides oversight and strategic planning of all the ongoing implementation efforts occurring at once that are being pushed/rolled out to schools.</li> <li>The DIT should include members that are representative of the various central leaders who are responsible for organizing and supporting the implementation of specific work in the district.</li> <li>The goal is for central leaders to collaborate with one another and for each leader to have a voice so they can represent the work they are responsible to implement, as well as understand that the decisions to implement in one area of work have implications for another area of work.</li> <li>DIT meets regularly (1x per month), with</li> </ol>				



# What are **your needs** around implementation?

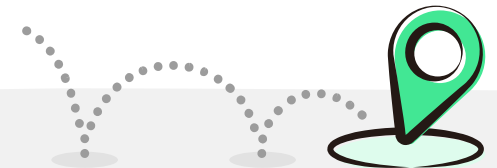
We're pretty good at implementation but always looking for more ideas & resources



We encounter implementation hurdles and need strategies to overcome them



We really struggle with implementation, & need some support



# CIS is your 1-stop shop for Implementation!



We're pretty good at implementation but always looking for more ideas & resources



Grab our resources!



We encounter implementation hurdles and need strategies to overcome them



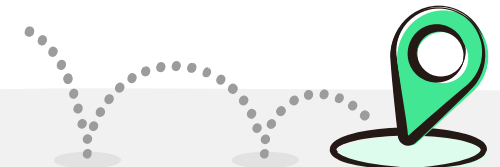
Attend our next CIS Demo!



We really struggle with implementation, & need some support



Book a call with us in the next 2 weeks!



We offer consultative supports to help districts and schools build the capacity to select and implement effective ‘Things’ in the service of achieving better outcomes for students.

If you're interested to learn more,  
**schedule a meeting with us!**

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# Upcoming CharacterStrong Events

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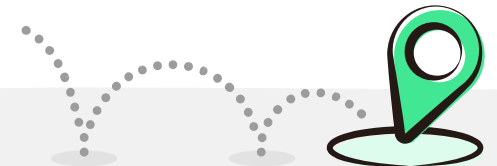
**Tomorrow // CIS Demo**

**Tomorrow // Live MS/HS SEL Demo**

**September 7th // Live Elementary SEL Demo**

**October 6th // CharacterStrong Virtual Conferences**

**January 20 & 21, 2023 // The CharacterStrong National Conference (Austin, Texas)**



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